THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors:

Yves Louis Gabriel AUDEBERT, et al. Art Unit: 2131

Application No.:

09/844,272

Filed:

April 30, 2001

For:

METHOD AND SYSTEM FOR REMOTE MANAGEMENT OF PERSONAL

SECURITY DEVICES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Dear Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the art listed on the attached PTO Forms 1449. These Forms PTO 1449 bring to the attention of the Examiner the art cited in the applications listed in the Notice of Co-Pending Related Applications filed concurrently herewith.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see <a href="Beckman Instruments">Beckman Instruments</a>, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,

Date: November 16, 2004

James E. Ledbetter

Registration No. 28,732

JEL/ejw

ATTORNEY DOCKET NO. L741.01103

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.

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| FORM PTO-144<br>(Rev. 4/92) | 19 U.S.                   | Depa                       | rtment                   | of Con                 | merce                     | ATTY. DOCKET NO.        |                          |                    |                                    |                                     | SERIAL NO.            |          |             |                |  |
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| Š                           | NFORM<br>TATEM<br>(Use se |                            |                          |                        |                           | fO                      |                          | N C                |                                    | es Louis Gabriel                    | AUDEBE                |          |             |                |  |
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### ITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors:

Y. L. G. AUDEBERT, et al. Art Unit: 2131

Application No.:

09/844,272

Filed:

April 30, 2001

For:

METHOD AND SYSTEM FOR REMOTE MANAGEMENT OF

PERSONAL SECURITY DEVICES

### NOTICE OF CO-PENDING RELATED APPLICATIONS PURSUANT TO 37 CFR §1.56 MPEP 2001.06(b)

Assistant Commissioner of Patents Washington, D. C. 20231

Sir:

Pursuant to 37 CFR §1.56 and MPEP 2001.06(b), the applicants hereby call to the attention of the Patent and Trademark Office the following applications which may be deemed to be co-pending related applications to the present application:

- (1) 10/476,329;
- (2) 09/844,246;
- (3) 09/844,439;
- (4) 10/476,416; and
- **(5)** 10/476,316.

It is requested that the prior art references from each application be reviewed by the examiner and made of record in the present application. To assist the examiner, submitted herewith is an Information Disclosure Statement directed to making the art

currently of record in each of the above-listed cases also of record in the present application.

Respectfully submitted,

Date: November 16, 2004

James E. Ledbetter

Registration No. 28,732

JEL/ejw

ATTORNEY DOCKET NO. <u>L741.01103</u> STEVENS, DAVIS, MILLER & MOSHER, LLP 1615 L STREET, NW, SUITE 850 WASHINGTON, DC 20043-4387

Telephone: (202) 785-0100 Facsimile: (202) 408-5200

Our Ref. 2741.03108

Serial No.: 10/476,329

## Information Disclosure Statement of May 5, 2004

FORM PTO-1449 U.S. Department of Commercu (Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.

SERIAL NO.

L741.01103

09/844,272

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)

APPLICANT
Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

|                |          |              |                    |                  |                   | <u>.</u>           | <u>\</u>           | Mo.      |                  | FILING DATE April 30, 2                         | 2001                     | GF          | ROUP 2          | 131      |
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|                |          | Glot         | bal Pla            | tform,           | Open F            | Platform           | n, Tern            | ninal S  | pecification,    | Version 1.5, Novembe                            | er 1999.                 | <del></del> |                 |          |
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|                |          | Gloi         | bal Pla            | tform,           | Multi A           | pplica<br>2000.    | tion- S            | mart C   | Card Manager     | nent Systems, Global                            | Piatform i               | Functional  | Requirements    | s, ·     |
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|                |          | Java         | a Card             | 2.1 Ap           | plication         | on Pro             | gram li            | nterfac  | e Sun Micros     | ystems, Inc. Final Rev                          | vision 1.1,              | June 7, 19  | 99.             |          |
|                |          | Che          | n, Zhio            | qun, "H          | low to            | write a            | Java (             | Card ap  | pplet: a Devel   | oper's Guide", Javaw                            | ord, July 1              | 1999.       |                 |          |
|                |          | Che          | n, Zhio            | un et            | al., "Ur          | ndersta            | nding              | Java C   | ard 2.0, " Jav   | aworld, March 1998.                             |                          |             |                 |          |
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**EXAMINER** 

DATE CONSIDERED

FORM PTO-1449 (Rev. 4/92) U.S. Department of Commerce Patent and Trademark Office

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO.

09/844,272

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE April 30, 2001

L741.01103

**GROUP** 

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|                                       |              | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)  T. EBRINGER, et al.; "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, VS, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60. |             |                                       |          |             |             |             |             |                         |                                       |            |                     |       |  |
| <del></del>                           |              | i   |             |                                       |          |             |             |             |             | 01001747, ISBN: 0018-91 | 62, pp. 54-                           | 50.        |                     |       |  |
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|                                       | <u> </u>     | <del> </del>  |             |                                       |          |             |             |             | er 3, 2002. | •                       |                                       |            | •                   |       |  |
|                                       | -            | P. T  | ROMM        | LER, e                                | t al.: " | Smart (     | Cards a     | and the     | OpenCard F  | ramework," Java World,  | January, 1                            | 998, XPO02 | 173639, pp.         | 1-12. |  |
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Our Ref.:

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Serial No.:

09/844,246

Information Disclosure Statement of October 18, 2001

U.S. Department of Commercu. Patent and Trademark Office FORM PTO-1449 (Rev. 4/92)

ATTY. DOCKET NO.

L741.01103

09/844,272

(Use several sheets if necessary)

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE April 30, 2001

**GROUP** 

SERIAL NO.

2131

| XAMINER |  |                          | DOCU                       | MENT NU         | MBER           |                 |              | DATE                    | NAME                                      | CLASS                | SUBCLASS     | IF APPRO                | BATE   |  |
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|         | 6  | 1                        | 3                          | 1               | 8              | 1               | 1            | 10/2000                 | Gangi                                     |                      |              |                         |        |  |
|         | 6  | 0                        | 0                          | 5               | 9              | 4               | 2            | 12/1999                 | Chan et al.                               |                      |              |                         |        |  |
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|         | Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.  Global Platform, Multi Application-Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. |                          |                            |                 |                |                 |              |                         |   |                      |              |                         |        |  |
|         |  |                          |                            |                 |                |                 |              |                         |   |                      |              |                         |        |  |
|         | ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.                                      |                          |                            |                 |                |                 |              |                         |   |                      |              |                         |        |  |
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Information Disclosure Statement of May 7, 2004

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**EXAMINER** 

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| FORM PTO<br>(Rev. 4/92) | )-1449 (             | U.S. D                | epartm                  | nent of                               | Comm       | nercu<br>ce    |                 |                   | ATTY. DOCKET NO. |               |                      |                         | SERIAL NO.            |  |                     |              |
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| ()                      | INFO                 | RMA                   | TION                    | N DIS                                 | CLO        | SUR            | E               | PE                |                  |               | L741                 | .01103                  |                       |  | 09/84               | 4,272        |
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|                         | T. El<br>Com<br>0018 | BRIN<br>pute<br>-916: | GER,<br>r Soc<br>2, pp. | et al.;<br>iety. I<br>54-60           | "Pa<br>ong | rasiti<br>Brea | c Autl<br>ch, C | hentica<br>A, US, | ation<br>US, v   | To Provol. 33 | otect Yo<br>, no. 10 | our E-Wall<br>, October | let," Con<br>1, 2000, | puter, IE<br>XP001001                        | EE<br>1747, ISI     | BN:          |
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Serial No.: 10/085,127

Information Disclosure Statement of May 23, 2002

| (Rev. 4/92) | Pat  | ent an           | paπmo<br>d Trac | ent of the    | COffice      | ercu<br>e |        |          | ATTY, DOCKET NO.        |                      |                      |        |                | SERIAL NO.                            |                    |  |  |  |
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|             | INFOR  |                  |                 |               |              | _         | 51 P   | E        | L741.01103              |                      |                      |        |                |                                       | 09/84              | 44,272   |  |  |
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|             | _  |                  |                 |               |              | V.        | TRADE  | W. C. L. | ING DATE                | ch 1, 20             | 002                  | ا      | OUF            | 2131                                  |                    |  |  |  |
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|             | US Patent Application Serial No. 09/844,246 entitled "Method And System For Establishing A Remote Connection To A Personal Security Device", filed on April 30, 2001 by Y. AUDEBERT, et al.  US Patent Application Serial No. 09/844,439 entitled "Method And System For Authentication Through A Communications Pipe", filed on April 30, 2001 by Y. AUDEBERT, et al. |                  |                 |               |              |           |        |          |                         |                      |                      |        |                |                                       |                    |  |  |  |
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Our Ref.: 01/5 L741.01107 Serial No.: 09/985,344

## Information Disclosure Statement of May 21, 2003

| (Rev. 4/9 | 770-14<br>32)            | 49 P                      | U.S. D<br>atent a                    | epartn<br>ind Tra                 | nent of                             | Comm<br>k Offic                 | erc.                      |                          |  | ATTY. DOCKET NO.  |  |                            | SER                           | IAL NO.                              |                   |  |
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|           | L                        |                           |                                      |                                   | CLIM                                | ENITO                           | · /Inal                   |                          | . A                                      | Fitte Deta Bertiner   | l                                      | - 54-                      | $\overline{}$                 |                                      | <u></u>           |  |
|           | T                        |                           |                                      |                                   |                                     |                                 |                           |                          | April 23, 2                              | Title, Date, Pertiner   | it Page:                               | s, Etc                     | .)                            |                                      |                   |  |
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